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CENTRAL FAX CENTER

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of E. C. Leuthardt  
Serial No.: 10/735,474  
Confirmation No. 2473  
Filed: December 12, 2003  
Entitled: BRAIN COMPUTER INTERFACE

Group Art Unit 3736  
Examiner: P. Mallari

**DECLARATION UNDER 37 C.F.R. § 1.131**

I, Eric C. Leuthardt, declare and state as follows:

1. I am a co-inventor of the subject matter claimed in U.S. Patent Application 10/735,474 entitled, "BRAIN COMPUTER INTERFACE," which was filed December 12, 2003.
2. U.S. Patent Application Publication No. US 2005/0113744 A1 was cited against claims of the subject application in an Office Action dated July 11, 2005. U.S. Patent Application Publication No. US 2005/0113744 A1 has a priority date of November 21, 2003, which is twenty days before the priority date of the subject application.
3. Attached as Exhibit A is a copy of my laboratory notes dated March 7, 2003 showing results for a Screening Protocol conducted with subject JJ using the Brain Computer Interface of the subject patent application.
4. Attached as Exhibit B is a copy of my laboratory notes dated May 21, 2003 showing results for a Screening Protocol conducted with subject DW using the Brain Computer Interface of the subject patent application.
5. Based on the attached exhibits, it is evident I and my co-inventors constructively reduced to practice the invention recited in the claims of the subject application before the priority date of U.S. Patent Application Publication No. US 2005/0113744 A1 and diligently pursued filing U.S. Patent Application 10/735,474 during a period of time beginning before the priority date of U.S. Patent Application Publication No. US 2005/0113744 A1 and lasting at least until the filing date of Application Ser. No U.S. Patent Application 10/735,474 .

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4. I hereby declare that the statements made of my own knowledge are true and that all statements made on information or belief are believed to be true. I acknowledge that willful false statements and the like are punishable by fine or imprisonment or both (18 U.S.C. § 1001) and may jeopardize the validity of this application or any patent issuing therefrom.

Date:

11/10/05  
Eric C. Leuthardt, M.D.

## EXHIBIT A

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Purpose: Screening Protocol St. Louis 03/07/2003

Initials: JJ

Date: 3/17/02

Technician: Eric Leylandt

Run	Duration	Task	.prm File	Comments
1	1 min	resting with eyes open	base60	
2	1 min	resting with eyes closed	base60	Moved (?) arm
3	2 min	opening and closing left/right hand	horizontal	Did well
4	2 min	imagining opening and closing left/right hand	horizontal	Focused well
5	2 min	protrude tongue in and out	onetarget	Protruded tongue
6	2 min	imagining to protrude tongue in and out	onetarget	Focused tongue
7	2 min	saying a word "move"	onetarget	Seemed more directed
8	2 min	imagine saying a word "move"	onetarget	Said word only once
9	3 min	joystick task	joystick	
10	2 min	opening and closing left/right hand	horizontal	
11	2 min	imagining opening and closing left/right hand	horizontal	Seem excessive arm movement
12	2 min	protrude tongue in and out	onetarget	
13	2 min	imagining to protrude tongue in and out	onetarget	Inconsistent - did it constantly
14	2 min	saying a word "move"	onetarget	Seemingly hyperkinetic
15	2 min	imagine saying a word "move"	onetarget	Seemingly inconsistent
16	3 min	joystick task	joystick	Stayed focused
17	2 min	opening and closing left/right hand	horizontal	inconsistent

(18)	2 min	imagining opening and closing left/right hand	horizontal	
(19)	2 min	protrude tongue in and out	onetarget	
(20)	2 min	imagining to protrude tongue in and out	onetarget	7 seconds
(21)	2 min	saying a word "move"	onetarget	
(22)	2 min	Imagine saying a word "move"	onetarget	
(23)	3 min	joystick task	joystick	

Very perseverative  $\rightarrow$  goes slow + step points

- [ ] is finally recovered enough to complete all the tasks required for the screening protocol. The proto. 1 is attached above.
- He is somewhat tired and slower when with the tasks.
- Data acquisition is good.
- We will attempt to done today tomorrow.
- Note: we have canceled building the computer. Useful pinout diagrams for isolated in back.

RE: THE FILTERS IN THE ACQUISITION COMPUTER AND THE ANALOG COMPUTER WERE ON. THIS PROBABLY MEANS THAT MUSICAL FREQUENCY ABOVE 70 Hz WERE FILTERED OUT.

## EXHIBIT B

Session DW 001  
 Date: 5/21/03 - 5/22  
 Screening Session: 001

Run	Task	.prm file	Comments
001 ✓	Resting eyes open	Base60	did well
002 ✓	Resting eyes closed	Base60	did well
003 ✓	Open closing right/left hand	horizontal	did well
004 ✓	Imagine Open closing right/left hand	horizontal	too fast
005 ✓	Protrude tongue in and out	Onetarget	did well
006 ✓	Imagine protrude tongue in and out	Onetarget	did well
007 ✓	Say word move	Onetarget	did well
008 (5/22/03)	Imagine saying word move 11:15	Onetarget	Network crashed (5/22)
009 ✓	joystick	joystick	did well
010 ✓	Open closing right/left hand	horizontal	did well
011 ✓	Imagine Open closing right/left hand	horizontal	did well
012 ✓	Protrude tongue in and out	Onetarget	did well
013 ✓	Imagine protrude tongue in and out	Onetarget	did well
014 ✓	Say word move	Onetarget	did well
015 ✓	Imagine saying word move	Onetarget	did well
016 ✓	joystick	joystick	did well
017 ✓	Open closing right/left hand	horizontal	did well
018 ✓	Imagine Open closing right/left hand	horizontal	did well
019 ✓	Protrude tongue in and out	Onetarget	did well
020 ✓	Imagine protrude tongue in and out	Onetarget	falling asleep
021 ✓	Say word move	Onetarget	did well
022 ✓	Imagine saying word move	Onetarget	did well
023 ✓	joystick	joystick	did well
024 ✓	joystick	-	did well did well

Pre-Testing Check List — 5/21/05 : DW	
<input checked="" type="checkbox"/>	Unplug Bed
<input checked="" type="checkbox"/>	Unplug Fan and turn off TV
<input checked="" type="checkbox"/>	Use cortical lead as reference (most inactive lead) requires tech assistance
<input checked="" type="checkbox"/>	Change the HIGH filter settings on the acquisition computer (in EEG monitor lab) to OFF
-	Make sure that the acquisition frequency is set at 500 HZ
	Uncheck the analog printer filter settings on the BCI computer
	Names of Individuals involved : Eric Leuthardt      Note = reference = scalp Gernot Schalk Dan Moran
Post Testing Check List	
	Make sure name and run are correct following each run
	Make sure patient is reconnected to local network and being monitored

Note : In checking parameters we noted that the last case was sampled @ 300Hz. Therefore we need to resample accurately to 300Hz because beam analysis analyzes the data according to

to add to check list - file names per parameters

### [ SOURCE ]

- \* Sampling rate 500
- Software channels 32
- Sample Block size 20

### [ BPF ]

### [ MEM FILTER ]

- Bandwidth 2
- Model order 18
- M&M window 7

Chg! 11-11 25

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